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Karen

Express Mail No. EL 451 595 684 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Melvyn Little and
Sergej Kipriyanov

Based on International Application No.

PCT/DE99/01350

Int'l. Filing Date: 5 May 1999

For: MULTIVALENT ANTIBODY
CONSTRUCTS

Group Art Unit: To be assigned

Examiner: To be assigned

Attorney Docket No.:
8484-089-999

COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith an original Declaration and Power of Attorney.

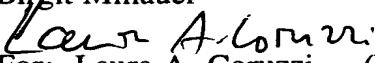
This submission completes the filing requirements of the above-captioned patent application.

Additionally, applicants submit herewith an original Verified Statement (Declaration) Claiming Small Entity Status and Request to Establish Small Entity Status.

Applicant believes that no fee is due with this paper. However, if determined that a fee is due, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Dated: December 8, 2000


Birgit Millauer 43,341
(Reg. No.)

For: Laura A. Coruzzi (Reg. No. 30,742)
Pennie & Edmonds LLP
1155 Avenue Of The Americas
New York, New York 10036

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REQUEST TO ESTABLISH SMALL ENTITY STATUS AND
REQUEST FOR REFUND UNDER 37 CFR § 1.28

Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Request to Establish Small Entity Status and/or Request for Refund under 37 CFR § 1.28 is made to establish small entity status and request a refund for the portion of fees paid prior to establishing status as a small entity under 37 CFR § 1.27. The request for refund is timely made as Applicants submitted a filing under 37 C.F.R. 1.53(b) on November 3, 2000, which is within the two-month time period allowed to request a refund of the excess amount of fees timely paid in full prior to establishing status as a small entity.

On November 3, 2000, Applicants submitted a filing under 37 CFR § 1.53(b). The Patent and Trademark Office was requested to charge \$1,256.00 to Pennie & Edmonds' Deposit Account No. 16-1150, which represents the filing fee afforded to large entities. For your review, we have enclosed a copy of the transmittal letter, dated November 3, 2000, which shows the fee calculation.

Applicants now submit an executed original Verified Statement (Declaration) Claiming Small Entity Status under § 1.27(d) for Deutsches Krebsforschungszentrum Stiftung des Öffentlichen Rechts, which qualifies as a Small Entity under 37 C.F.R. §§ 1.9 (f) and 1.27 (d). It is respectfully requested that the application be accorded Small Entity Status in accordance with 37 C.F.R. §§ 1.9(f) and 1.27(d).

No fee is believed to be due with this filing. However, should the Commissioner determine otherwise, he is authorized to charge the required fee to the Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is attached.

Respectfully submitted,

Date December 8, 2000


Birgit Millauer

43,341

(Reg. No.)


Laura A. Coruzzi
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Enclosures